

1 Simulate and study 5G Handover procedure (Level 2)

1.1 Introduction

The handover logic of NetSim 5G library is based on the Strongest Adjacent Cell Handover Algorithm (Ref: Handover within 3GPP LTE: Design Principles and Performance. Konstantinos Dimou. Ericsson Research). The algorithm enables each UE to connect to that gNB which provides the highest Reference Signal Received Power (RSRP). Therefore, a handover occurs the moment a better gNB (adjacent cell has offset stronger RSRP, measured as SNR in NetSim) is detected.

This algorithm is similar to 38.331, 5.5.4.4 Event A3 wherein Neighbor cell's RSRP becomes Offset better than serving cell's RSRP. Note that in NetSim report-type is periodical and not eventTriggerred since NetSim is a discrete event simulator and not a continuous time simulator.

This algorithm is susceptible to ping-pong handovers; continuous handovers between the serving and adjacent cells on account of changes in RSRP due mobility and shadow-fading. At one instant the adjacent cell's RSRP could be higher and the very next it could be the original serving cell's RSRP, and so on.

To solve this problem the algorithm uses:

- a) Hysteresis (Hand-over-margin, HOM) which adds a RSRP threshold ($\text{Adjacent_cell_RSRP} - \text{Serving_cell_RSRP} > \text{Hand-over-margin or hysteresis}$), and
- b) Time-to-trigger (TTT) which adds a time threshold.

This HOM is part of NetSim implementation while TTT can be implemented as a custom project in NetSim.

1.2 Network Setup

Open NetSim and click on **Experiments> 5G NR> Handover in 5G NR> Handover Algorithm** then click on the tile in the middle panel to load the example as shown in below Figure 1-1.

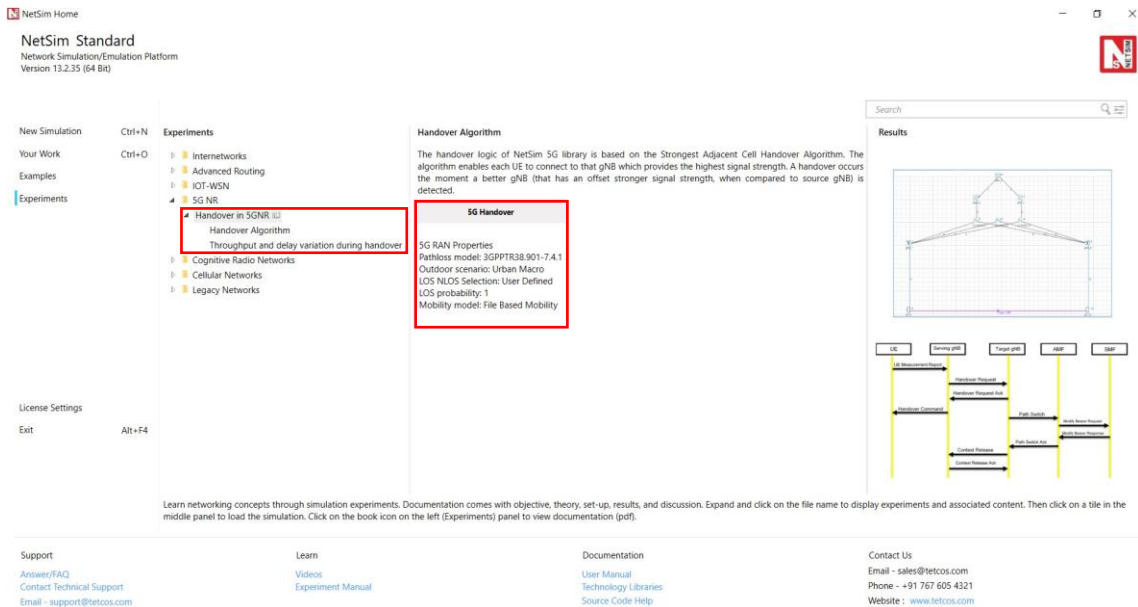


Figure 1-1: List of scenarios for the example of Handover in 5G NR

1.3 Handover Algorithm

NetSim UI displays the configuration file corresponding to this experiment as shown below Figure 1-2.

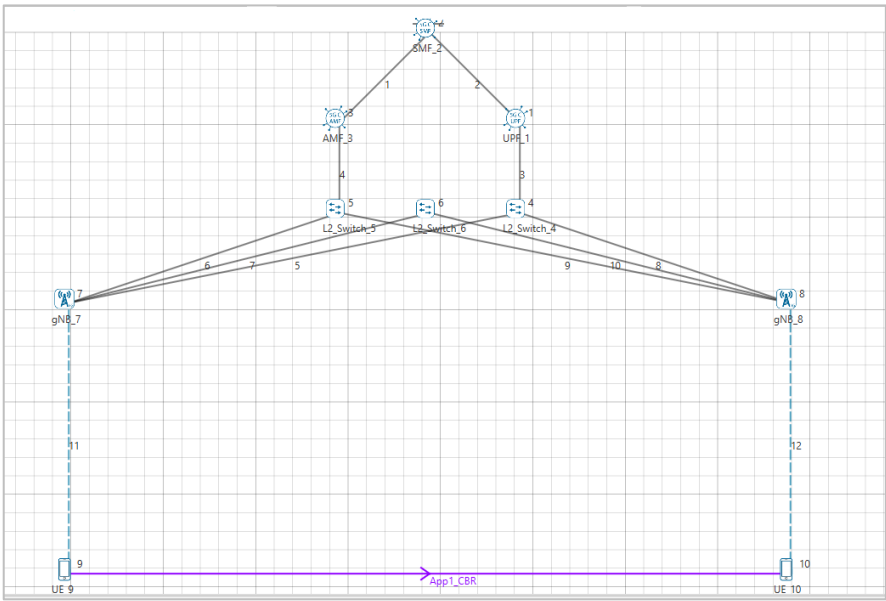


Figure 1-2: Network set up for studying the 5G handover

1.3.1 Procedure for 5G Handover

The following set of procedures were done to generate this sample:

Step 1: A network scenario is designed in NetSim GUI comprising of 5G-Core devices, 2 gNBs, and 2 UEs in the “**5G NR**” Network Library.

Step 2: The device positions are set as per the table given below Table 1-1.

	gNB 7	gNB 8	UE 9	UE 10
X Co-ordinate	500	4500	500	4500
Y Co-ordinate	1500	1500	3000	3000

Table 1-1: Device positions

Step 3: In the General Properties of UE 9 and UE 10, set Mobility Model as File Based Mobility.

Step 4: Right click on the gNB_7 and select Properties, the following is set Table 1-2.

Interface_4(5G_RAN) Properties	
CA_Type	Single Band
CA_Configuration	n78
CA_Count	1
Numerology	0
Channel Bandwidth (MHz)	10
PRB Count	52
MCS Table	QAM64
CQI Table	Table 1
X_Overhead	XOH0
DL UL Ratio	4:1
Pathloss Model	3GPPTR38.901-7.4.1
Outdoor Scenario	Urban Macro
LOS_NLOS_Selection	User_Defined
LOS Probability	1
Shadow Fading Model	None
Fading_and_Beamforming	NO_FADING_MIMO_UNIT_GAIN
O2I Building Penetration Model	LOW_LOSS_MODEL
Additional Loss Model	None

Table 1-2:gNB_7 > 5G_RAN Interface Properties Window

Similarly, it is set for gNB 8.

Step 5: The Tx_Antenna_Count was set to 2 and Rx_Antenna_Count was set to 1 in gNB > Interface (5G_RAN) > Physical Layer.

Step 6: The Tx_Antenna_Count was set to 1 and Rx_Antenna_Count was set to 2 in UE > Interface (5G_RAN) > Physical Layer.

Step 7: Right click on the Application Flow **App1 CBR** and select Properties or click on the Application icon present in the top ribbon/toolbar.

A CBR Application is generated from UE 9 i.e., Source to UE 10 i.e., Destination with Packet Size remaining 1460Bytes and Inter Arrival Time remaining 20000 μ s. QOS is set to UGS.

Additionally, the “**Start Time(s)**” parameter is set to 40, while configuring the application.

File Based Mobility:

In File Based Mobility, users can write their own custom mobility models and define the movement of the mobile users. Create a mobility.csv file for UE's involved in mobility with each step equal to 0.5 sec with distance 50 m.

The NetSim Mobility File (mobility.csv) format is as follows:

#Time(s)	Device ID	X	Y	Z
0	9	500	3000	0
0.5	9	1000	3000	0
1	9	1050	3000	0
1.5	9	1100	3000	0
2	9	1150	3000	0
2.5	9	1200	3000	0
3	9	1250	3000	0
3.5	9	1300	3000	0
.
.
.
38	9	3900	3000	0
39	9	3950	3000	0
40	9	4000	3000	0

Step 8: Packet Trace is enabled in NetSim GUI. At the end of the simulation, a very large .csv file is containing all the packet information is available for the users to perform packet level analysis. Plots is enabled in NetSim GUI.

Step 9: The log file can enable per the information provided in **Section 3.21** 5G-NR technology library document.

Step 10: Run the Simulation for 50 Seconds.

1.3.2 Results and Discussion

1.3.2.1 Handover Signaling

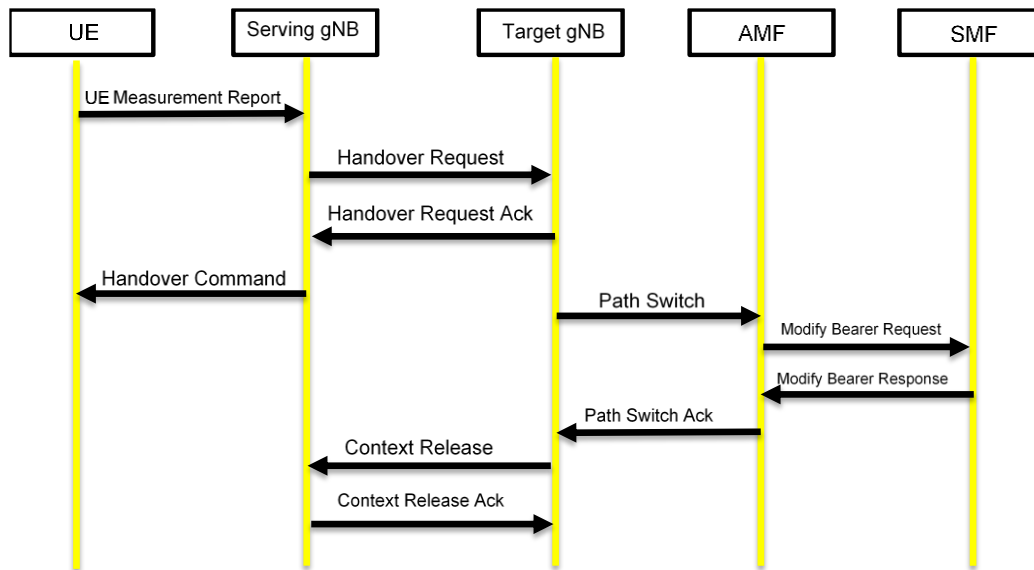


Figure 1-3: Control packet flow in the 5G handover process

The packet flow depicted above can be observed from the packet trace.

1. UE will send the UE_MEASUREMENT_REPORT every 120 ms to the connected gNB
2. The initial UE- gNB connection and UE association with the core takes place by transferring the RRC and Registration, session request response packets.
3. As Per the configured file-based mobility, UE 9 moves towards gNB 8.
4. After 18.6 s gNB 7 sends the HANDOVER REQUEST to gNB 8.
5. gNB 8 sends back HANDOVER REQUEST ACK to gNB 7.
6. After receiving HANDOVER REQUEST ACK from gNB 8, gNB 7 sends the HANDOVER COMMAND to UE 9
7. After the HANDOVER COMMAND packet is transferred to the UE, the target gNB will send the PATH SWITCH packet to the AMF via Switch 5
8. When the AMF receives the PATH SWITCH packet, it sends MODIFY BEARER REQUEST to the SMF
9. The SMF on receiving the MODIFY BEARER REQUEST provides an acknowledgement to the AMF.

10. On receiving the MODIFY BEARER RESPONSE from the SMF, AMF acknowledges the Path switch request sent by the target gNB by sending the PATH SWITCH ACK packet back to the target gNB via Switch 5.
11. The target gNB sends CONTEXT RELEASE to source gNB, and the source gNB sends back CONTEXT RELEASE ACK to target gNB. The context release request and ack packets are sent between the source and target gNB via Switch 6.
12. RRC Reconfiguration will take place between target gNB and UE 9.
13. The UE 9 will start sending the UE MEASUREMENT REPORT to gNB 8.

PACKET_ID	SEGMENT_ID	PACKET_TYPE	CONTROL_PACKET_TYPE/APP_NAME	SOURCE_ID	DESTINATION_ID	TRANSMITTER_ID	RECEIVER_ID	NW_LAYER_ARRIVAL_TIME(US)	MAC_LAYER_ARRIVAL_TIME(US)	PHY_LAYER_ARRIVAL_TIME(US)
0	N/A	Control_Packet	RRC_MIB	GNB-7	Broadcast-0	GNB-7	UE-10	N/A		18560000
0	N/A	Control_Packet	RRC_SIB1	GNB-8	Broadcast-0	GNB-8	UE-9	N/A		18560000
0	N/A	Control_Packet	RRC_SIB1	GNB-8	Broadcast-0	GNB-8	UE-10	N/A		18560000
0	N/A	Control_Packet	RRC_MIB	GNB-8	Broadcast-0	GNB-8	UE-9	N/A		18560000
0	N/A	Control_Packet	RRC_MIB	GNB-8	Broadcast-0	GNB-8	UE-10	N/A		18560000
0	N/A	Control_Packet	UE_MEASUREMENT_REPORT	UE-9	GNB-7	UE-9	GNB-7	N/A		18600000
0	N/A	Control_Packet	UE_MEASUREMENT_REPORT	UE-10	GNB-8	UE-10	GNB-8	N/A		18600000
0	N/A	Control_Packet	HANDOVER_REQUEST	GNB-7	GNB-8	GNB-7	SWITCH-6	18600999		18600999
0	N/A	Control_Packet	HANDOVER_REQUEST	GNB-7	GNB-8	SWITCH-6	GNB-8	18600999		18600999
0	N/A	Control_Packet	HANDOVER_REQUEST_ACK	GNB-8	GNB-7	GNB-8	SWITCH-6	18601027.88		18601027.88
0	N/A	Control_Packet	HANDOVER_REQUEST_ACK	GNB-8	GNB-7	SWITCH-6	GNB-7	18601027.88		18601027.88
0	N/A	Control_Packet	HANDOVER_COMMAND	GNB-7	UE-9	GNB-7	UE-9	N/A		18601056.76
0	0	Control_Packet	PATH_SWITCH	GNB-8	AMF-3	GNB-8	SWITCH-5	18602999		18602999
0	0	Control_Packet	PATH_SWITCH	GNB-8	AMF-3	SWITCH-5	AMF-3	18602999		18602999
0	0	Control_Packet	MODIFY_BEARER_REQUEST	AMF-3	SMF-2	AMF-3	SMF-2	18603035.24		18603035.24
0	0	Control_Packet	MODIFY_BEARER_RESPONSE	SMF-2	AMF-3	SMF-2	AMF-3	18603053.36		18603053.36
0	0	Control_Packet	PATH_SWITCH_ACK	AMF-3	GNB-8	AMF-3	SWITCH-5	18603071.48		18603071.48
0	0	Control_Packet	PATH_SWITCH_ACK	AMF-3	GNB-8	SWITCH-5	GNB-8	18603071.48		18603071.48
0	N/A	Control_Packet	UE_CONTEXT_RELEASE	GNB-8	GNB-7	GNB-8	SWITCH-6	18603111.88		18603111.88
0	N/A	Control_Packet	UE_CONTEXT_RELEASE	GNB-8	GNB-7	SWITCH-6	GNB-7	18603111.88		18603111.88
0	N/A	Control_Packet	UE_CONTEXT_RELEASE_ACK	GNB-7	GNB-8	GNB-7	SWITCH-6	18603140.76		18603140.76
0	N/A	Control_Packet	UE_CONTEXT_RELEASE_ACK	GNB-7	GNB-8	SWITCH-6	GNB-8	18603140.76		18603140.76
0	N/A	Control_Packet	RRC_RECONFIGURATION	GNB-8	UE-9	GNB-8	UE-9	N/A		18602999
0	N/A	Control_Packet	UE_MEASUREMENT_REPORT	UE-10	GNB-8	UE-10	GNB-8	N/A		18720000
0	N/A	Control_Packet	UE_MEASUREMENT_REPORT	UE-9	GNB-8	UE-9	GNB-8	N/A		18720000

Figure 1-4: Screenshot of NetSim packet trace file showing the control packets involved in handover.

Some columns have been hidden before the last column.

1.3.2.2 Plot of SNR vs. Time

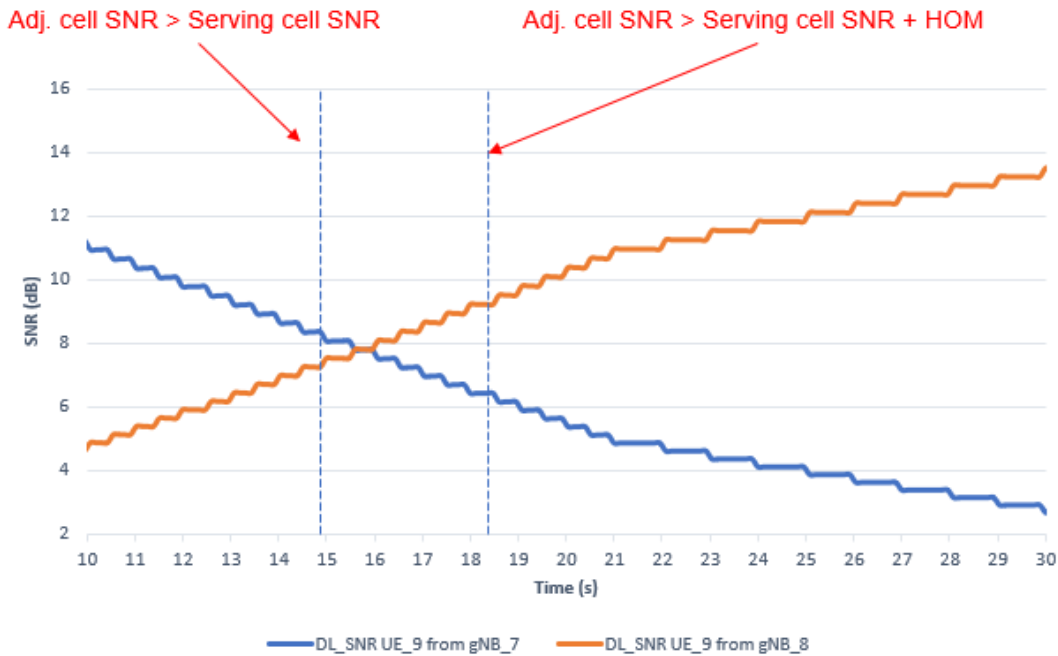


Figure 1-5: Plot of DL SNR (at UE_3 from gNB1 and gNB2) vs time. The handover process shown in Figure 1-3 commences when $\text{Adj_cell_SNR} > \text{Serving_cell_SNR} + \text{Hand_over_Margin}$

This plot can be got from the LTENRLog file. However, it would involve a fair amount of time and effort. Users can analyze the log file and see.

- Time 15.60s when the SNR from gNB7 is 7.81dB and the SNR from gNB8 is 7.81dB. This represents the point where the two curves intersect.
- Time 18.6s when the SNR from gNB7 is 6.18 dB and the SNR from gNB8 is 9.51dB. This represents the point where Adj cell RSRP is greater than serving cell RSRP by Hand-over-margin (HOM) of 3dB.

1.4 Throughput and delay variation during handover

NetSim UI displays the configuration file corresponding to this experiment as shown below Figure 1-6.

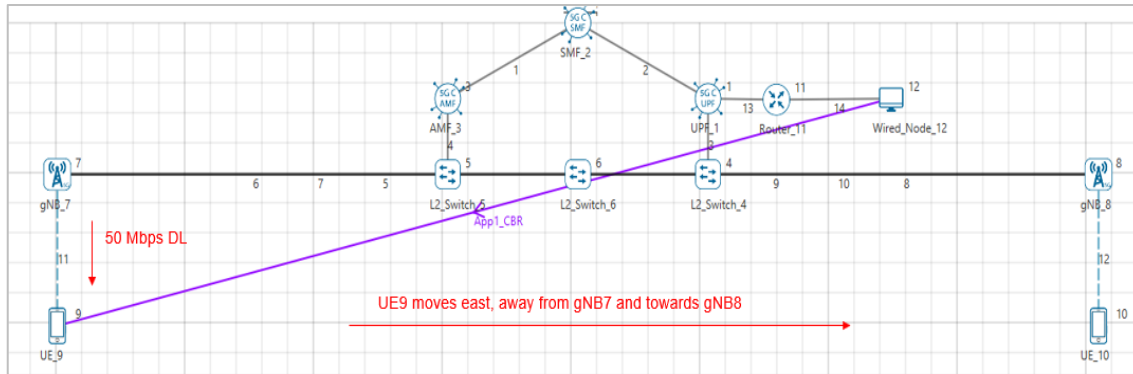


Figure 1-6: Network set up for studying the Throughput and delay variation during handover

1.4.1 Procedure for Effect of Handover on Delay and Throughput

The following set of procedures were done to generate this sample:

Step 1: A network scenario is designed in NetSim GUI comprising of 2 gNBs, 5G Core, 1 Router, 1 Wired Node and 2 UEs in the “5G NR” Network Library.

Step 2: The device positions are set as per the table given below Table 1-3.

	gNB 7	gNB 8	UE 9	UE 10
X Co-ordinate	500	4500	500	4500
Y Co-ordinate	500	500	1000	1000

Table 1-3: Device positions

Step 3: Right click on the gNB 7 and select Properties, the following is set.

Interface(5G_RAN) Properties	
CA_Type	Single Band
CA_Configuration	n78
CA_Count	1
Numerology	0
Channel Bandwidth (MHz)	10
PRB Count	52
MCS Table	QAM64
CQI Table	Table 1
X_Overhead	XOH0
DL UL Ratio	4:1
Pathloss Model	3GPPTR38.901-7.4.1
Outdoor Scenario	Urban Macro
LOS_NLOS Selection	User_Defined
LOS Probability	1
Shadow Fading Model	None
Fading_and_Beamforming	NO_FADING_MIMO_UNIT_GAIN
O2I Building Penetration Model	LOW_LOSS_MODEL

Additional Loss Model	None
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Table 1-4: gNB_7> Interface(5G_RAN) Properties Setting

Similarly, it is set for gNB 8.

Step 4: The Tx_Antenna_Count was set to 2 and Rx_Antenna_Count was set to 1 in gNB > Interface (5G_RAN) > Physical Layer.

Step 5: The Tx_Antenna_Count was set to 1 and Rx_Antenna_Count was set to 2 in UE > Interface (5G_RAN) > Physical Layer.

Step 6: In the General Properties of UE 9 and UE 10, set Mobility Model as File Based Mobility.

Step 7: The BER and propagation delay was set to zero in all the wired links.

Step 8: Right click on the Application Flow **App1 CBR** and select Properties or click on the Application icon present in the top ribbon/toolbar.

A CBR Application is generated from Wired Node 12 i.e., Source to UE 9 i.e., Destination with Packet Size remaining 1460Bytes and Inter Arrival Time remaining 233.6µs. QOS is set to UGS.

Additionally, the “**Start Time(s)**” parameter is set to 1, while configuring the application.

File Based Mobility:

In File Based Mobility, users can write their own custom mobility models and define the movement of the mobile users. Create a mobility.csv file for UE's involved in mobility with each step equal to 0.5 sec with distance 50 m.

The NetSim Mobility File (mobility.csv) format is as follows:

#Time(s)	Device ID	X	Y	Z
0	9	500	1000	0
0.5	9	750	1250	0
1	9	1000	1500	0
1.5	9	1250	1750	0
2	9	1500	2000	0
2.5	9	1750	2250	0
3	9	2000	2500	0
3.5	9	2250	2750	0
4	9	2500	3000	0
4.5	9	2750	2750	0
5	9	3250	2250	0
5.5	9	3500	2000	0
6	9	3750	1750	0
6.5	9	4000	1500	0
7	9	4250	1250	0
7.5	9	4500	500	0

Figure 1-7: mobility.csv file

Step 9: Packet Trace and Event Trace is enabled in NetSim GUI. At the end of the simulation, a very large .csv file is containing all the packet information is available for the users to perform packet level analysis. Plots is enabled in NetSim GUI.

Step 10: The log file can enable per the information provided in **Section 3.21** 5G NR technology library document.

Step 11: Run the Simulation for 20 Seconds.

1.4.2 Computing delay and throughput

1.4.2.1 Delay computation from Event Traces

NOTE: Follow the article link given below, to generate pivot table for large Packet Trace and Event Trace files

[How to generate pivot reports for large packet trace and event trace files?](#)

1. Open Event Trace after simulation.
2. Goto **Insert** option at the top ribbon of the trace window and select **Pivot Tables**.
3. In the window which arises, you can see **Table_1**. Click on OK.
4. This will create a new sheet with Pivot Table as shown below Figure 1-8.

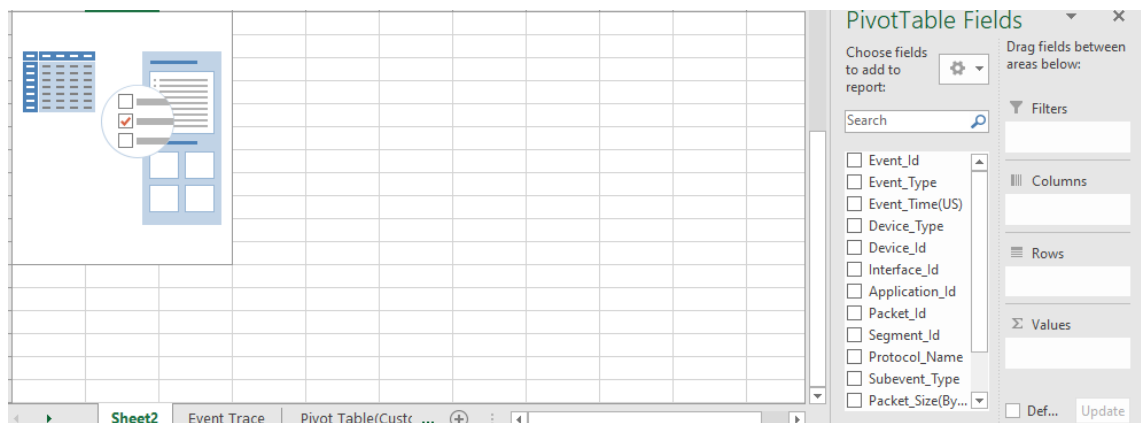


Figure 1-8: Blank Pivot Table

5. Now drag and drop **Packet_Id** to **Rows** field. Similarly, drag and drop the following: **Event_Type** to **Columns** field, **Event_Time** to **Values** field as shown Figure 1-9.

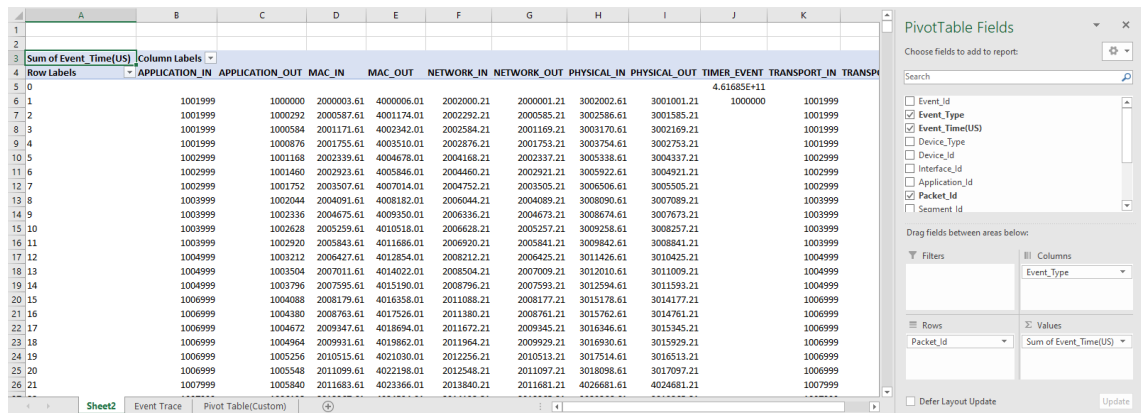


Figure 1-9: Adding fields into Columns, Rows and Values

6. Now in the Pivot table formed, filter **Event_Type** to **APPLICATION_IN** and **APPLICATION_OUT** as shown Figure 1-10.

	A	B	C	D	E
1					
2					
3	Sum of Event_Time(US)	Column Labels			
4	Row Labels	APPLICATION_IN	APPLICATION_OUT	Grand Total	
5	1	1001999	1000000	2001999	
6	2	1001999	1000292	2002291	
7	3	1001999	1000584	2002583	
8	4	1001999	1000876	2002875	
9	5	1002999	1001168	2004167	
10	6	1002999	1001460	2004459	
11	7	1002999	1001752	2004751	
12	8	1003999	1002044	2006043	
13	9	1003999	1002336	2006335	
14	10	1003999	1002628	2006627	
15	11	1003999	1002920	2006919	
16	12	1004999	1003212	2008211	
17	13	1004999	1003504	2008503	
18	14	1004999	1003796	2008795	
19	15	1006999	1004088	2011087	
20	16	1006999	1004380	2011379	
21	17	1006999	1004672	2011671	
22	18	1006999	1004964	2011963	
23	19	1006999	1005256	2012255	
24	20	1006999	1005548	2012547	
25	21	1007999	1005840	2013839	
26	22	1007999	1006132	2014131	

Figure 1-10: Event Type filtered to APPLICATION_IN and APPLICATION_OUT to calculate delay

7. In the **Values** field in the Pivot Table Fields, Click on **Sum of Event Time (US)** and select **Value Field Settings** as shown Figure 1-11.

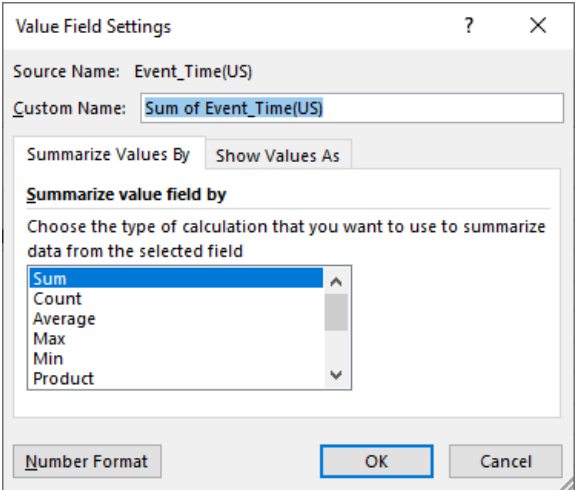


Figure 1-11: Value Field Settings to Sum of Event Time

8. Select **Show Values As** option and filter it to **Difference From** as shown Figure 1-12.

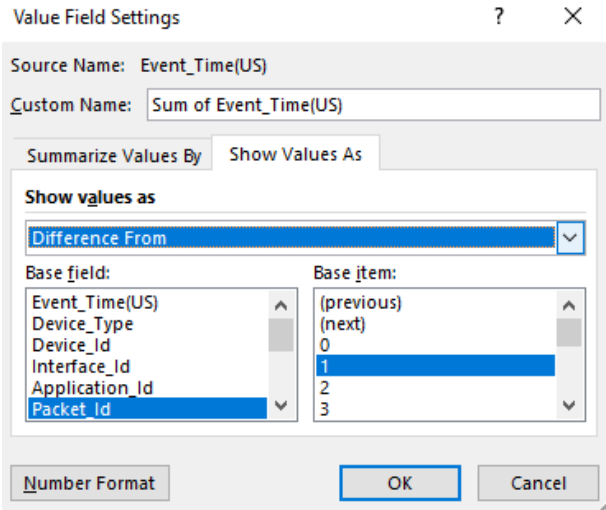


Figure 1-12: Select Show Values as Difference From

9. In the **Base field**, select **Event_Type** and in the **Base item** field select **APPLICATION_OUT** and click on OK. This will provide the end-to-end delay in the pivot table.

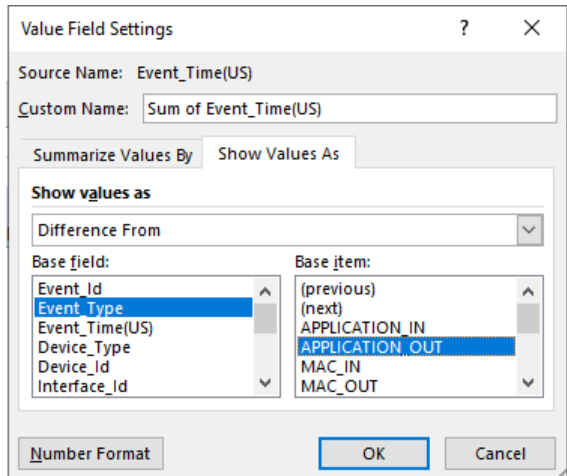


Figure 1-13: Select Base field to Event Type and Base item to APPLICATION OUT

10. Now ignore the negative readings in the Delay values (Figure 1-14) obtained and use these values to plot the Delay vs Time (APPLICATION_IN) graph.

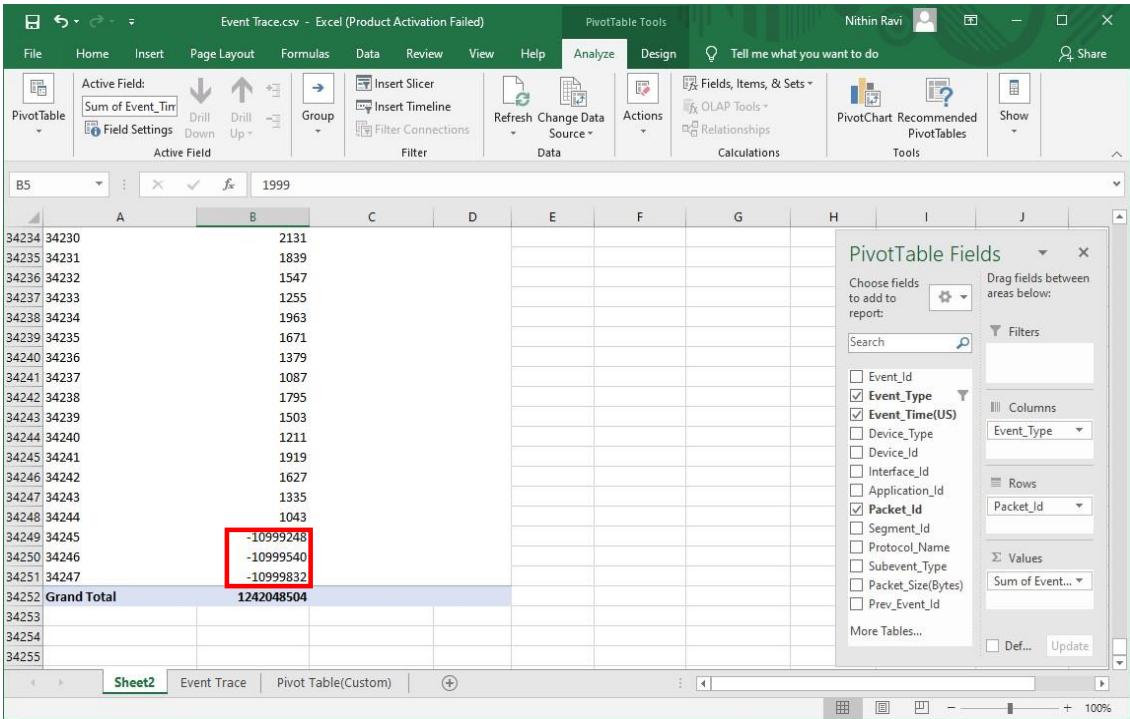


Figure 1-14: Ignore the negative values in the Delay

11. In the Event trace window, filter the **Event Type** to **APPLICATION_IN** and use the Event Time thus obtained as the x-axis of the plot.

Event_Id	Event_Type	Event Time(US)	Device_Type	Device_Id	Interface_Id	Application_Id	Packet_Id	Segment_Id	Protocol_Name	Subevent_Type	Packet_Size(Bytes)
1001999	UE		3	1	1	1	1	0	0	0	14
1001999	UE		3	1	1	1	2	0	0	0	14
1001999	UE		3	1	1	1	3	0	0	0	14
1001999	UE		3	1	1	1	4	0	0	0	14
1002999	UE		3	1	1	1	5	0	0	0	14
1002999	UE		3	1	1	1	6	0	0	0	14
1002999	UE		3	1	1	1	7	0	0	0	14
1003999	UE		3	1	1	1	8	0	0	0	14
1003999	UE		3	1	1	1	9	0	0	0	14
1003999	UE		3	1	1	1	10	0	0	0	14
1003999	UE		3	1	1	1	11	0	0	0	14
1004999	UE		3	1	1	1	12	0	0	0	14
1004999	UE		3	1	1	1	13	0	0	0	14
1004999	UE		3	1	1	1	14	0	0	0	14
1006999	UE		3	1	1	1	15	0	0	0	14
1006999	UE		3	1	1	1	16	0	0	0	14
1006999	UE		3	1	1	1	17	0	0	0	14
1006999	UE		3	1	1	1	18	0	0	0	14
1006999	UE		3	1	1	1	19	0	0	0	14
1006999	UE		3	1	1	1	20	0	0	0	14
1007999	UE		3	1	1	1	21	0	0	0	14

Figure 1-15: Event Trace

1.4.3 Results and Discussion

UDP Throughput Plot

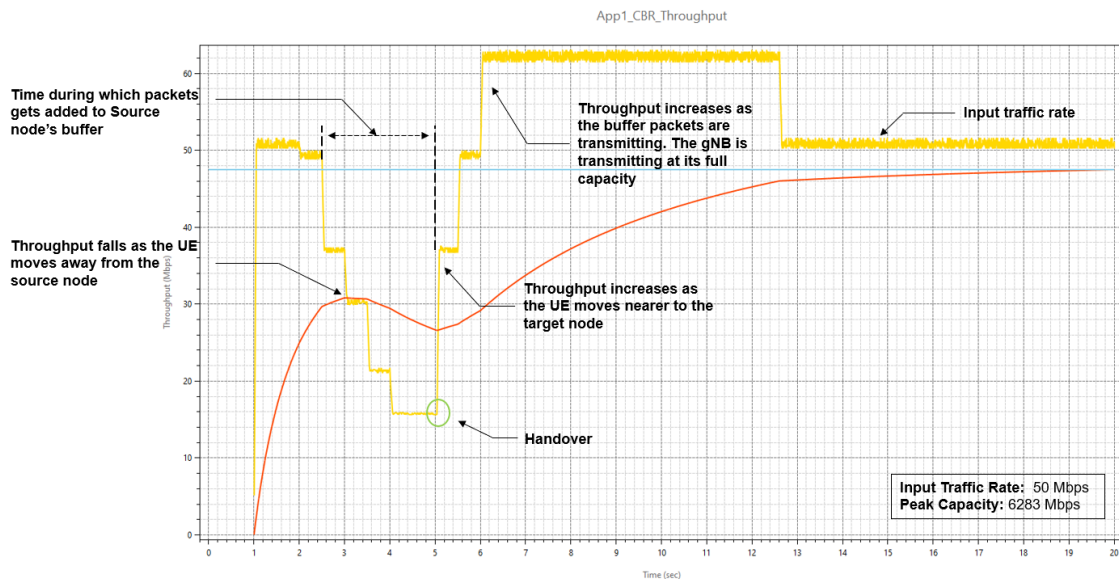


Figure 1-16: We see how throughput varies with time, and the reasons for this variation, as the UE moves from the source gNB to the target gNB.

The application starts at 1s. The generation rate is 50 Mbps and we see the network is able to handle this load, and the throughput is equal to the generation rate. We then observe that the throughput starts dropping from 2.5s onwards because the UE is moving away from the gNB. As it moves as the SNR falls, and therefore a lower MCS is chosen leading to reduced throughput. At 3s there is a further drop in throughput and then a final dip at 3.9s. The time the handover occurs is 5.04 sec. At this point we see the throughput starts increasing once UE attaches to gNB8. The throughput for a short period of time is greater than 50 Mbps because of the transmission of queued packets in the s-gNB buffer which get transferred to the t-gNB buffer over the Xn interface.

UDP Delay Plot

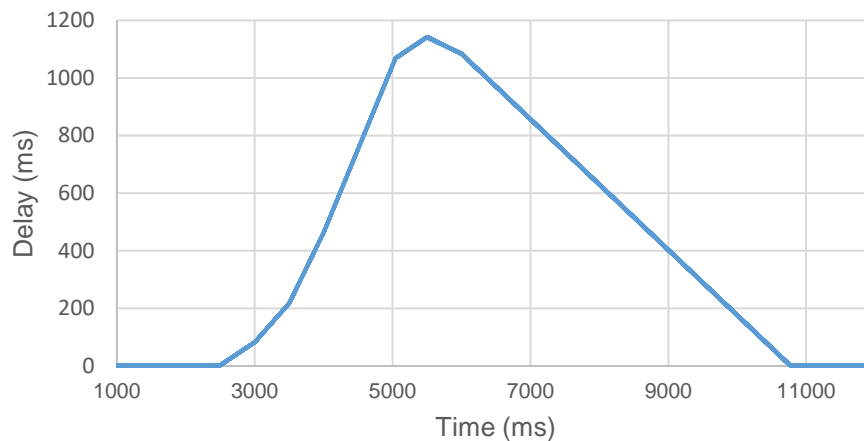


Figure 1-17: Plot of Delay vs. Time

Since the application starts at 1s, the UDP plot begins at 1000 ms. The initial UDP delay is ≈ 1 ms, and hence the curve is seen as close to 0 on the Y axis. We then see that the packet delay starts increasing as the UE moves away from the gNB. This is because the link capacity drops as the CQI falls. The peak delay experienced shoots up to ≈ 1.1 s at ≈ 5.5 s when the handover occurs. Once the handover is complete the delay starts reducing and returns to ≈ 1 ms. The reason is that as the UE moves closer to the gNB its CQI increases and hence the 5G link can transmit at a higher rate (see Figure 1-16).